



9-28-04

IFW

PATENT
040230

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Barany et al.

Serial No.: 10/806,835

Filing Date: March 23, 2004

Examiner:

Art Unit: 3624

Title: TRANSACTION STRUCTURE FOR ISSUING INFLATION-LINKED SECURITIES

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants, in accordance with their duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the references listed on the accompanying form PTO/SB/08. Copies of the non-U.S. patent references are enclosed.

Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

The Commissioner is hereby authorized to charge any fees necessary for consideration of this *Information Disclosure Statement* to Account No. 11-1110.

Respectfully submitted,

Date: Sept. 27, 2004

Mark G. Knedeisen
Mark G. Knedeisen
Reg. No. 42,747

KIRKPATRICK & LOCKHART LLP
Henry W. Oliver Building
535 Smithfield Street
Pittsburgh, PA 15222

Ph. (412) 355-6342
Fax (412) 355-6501

"Express Mail" mailing label number ED249799900US

Date of Deposit September 27, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express-Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Amendment, Commissioner of Patents, Box 1450, Alexandria, VA 22313-1450

John A. Delant
ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /W.R./

